Combining Pathway Analysis with Flux Balance Analysis for the Comprehensive Study of Metabolic Systems

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Abstract: The elucidation of organism-scale metabolic networks necessitates the development of integrative methods to analyze and interpret the systemic properties of cellular metabolism. A shift in emphasis from single metabolic reactions to systemically defined pathways is one consequence of such an integrative analysis of metabolic systems. The constraints of systemic stoichiometry, and limited thermodynamics have led to the definition of the flux space within the context of convex analysis. The flux space of the metabolic system, containing all allowable flux distributions, is constrained to a convex polyhedral cone in a high-dimensional space. From metabolic pathway analysis, the edges of the high-dimensional flux cone are vectors that correspond to systemically defined “extreme pathways” spanning the capabilities of the system. The addition of maximum flux capacities of individual metabolic reactions serves to further constrain the flux space and has led to the development of flux balance analysis using linear optimization to calculate optimal flux distributions. Here we provide the precise theoretical connections between pathway analysis and flux balance analysis allowing for their combined application to study integrated metabolic function. Shifts in metabolic behavior are calculated using linear optimization and are then interpreted using the extreme pathways to demonstrate the concept of pathway utilization. Changes to the reaction network, such as the removal of a reaction, can lead to the generation of suboptimal phenotypes that can be directly attributed to the loss of pathway function and capabilities. Optimal growth phenotypes are calculated as a function of environmental variables, such as the availability of substrate and oxygen, leading to the definition of phenotypic phase planes. The consequences of changing environmental and internal conditions of the network are examined for growth on glucose and succinate in the face of a variety of gene deletions. The convergence of the calculation of optimal phenotypes through linear programming and the definition of extreme pathways establishes a different perspective for the understanding of how a defined metabolic network is best used under different environmental and internal conditions or, in other words, a pathway basis for the interpretation of the metabolic reaction norm. © 2001 John Wiley & Sons, Inc.

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INTRODUCTION

Automated sequencing and high-throughput experimental techniques to analyze cellular functions at the genomic level are beginning to reveal the full complexity of living systems. The implication of dealing with complex systems is that their properties are not predictable from the complete description of their components, leading to the concept of emergent properties of living systems (Bhalla and Iyengar, 1999; Palsson, 1997; Weng et al., 1999). To understand the complexity inherent in cellular networks, approaches need to be implemented that focus on the systemic properties of the network. Subsequently, these approaches must be applied to complete cellular systems. Our focus then shifts from a reductionist to a holistic approach for understanding the interdependence of gene function and the role of the gene in the context of multigenetic cellular functions or genetic circuits.

The multigeneic nature of cellular functions presents a significant challenge in extracting phenotypic information from the genotype. Both experimental and theoretical methods that overcome this challenge will contribute significantly toward extracting valuable information from genomic data. Nowhere is this contribution more apparent.
than in cellular metabolism where it is now possible to
reconstruct detailed metabolic networks from annotated ge-
nome sequences, and experimentally characterize metabolic
phenotypes using functional genomic technologies such as
whole-genome expression profiling and protein character-
ization. Furthermore, there exists a history of studying the
systemic properties of metabolic networks. This history in-
cludes approaches such as metabolic control analysis (Fell,
1996; Heinrich and Rapoport, 1974; Kacser and Burns,
1973), flux balance analysis (Bonarius et al., 1997; Edwards
et al., 1999; Varma and Palsson, 1994b), pathway analysis
(Clark, 1988; Liao et al., 1996; Mavrovouniotis et al., 1990;
Schilling et al., 2000a; Schuster et al., 1999; Serresiotis and
Bailey, 1988), cybernetic modeling (Ramakrishna et al.,
1996; Varner and Ramkrishna, 1998, 1999), biochemical
systems theory (Savageau, 1969a, 1969b, 1970), and tem-
poral decomposition (Palsson et al., 1987).

Although the ultimate goal may be the development of
dynamic models for the complete simulation of metabolic
systems, the success of such approaches is severely ham-
pered by the current lack of kinetic information on the dy-
namics and regulation of metabolic reactions. In the absence
of kinetic information it is still possible to accurately assess
the theoretical capabilities and operative modes of meta-
bolic systems using steady-state analysis. Steady-state
analysis is based on the stoichiometry of the metabolic re-
actions, which is a structural invariant of the metabolic net-
work.

In recent years, an approach known as flux balance analy-
sis has been developed to describe metabolic physiology in
a quantitative manner (Bonarius et al., 1997; Edwards and
Palsson, 1998; Edwards et al., 1999; Sauer et al., 1998;
Schilling et al., 1999a; Varma and Palsson, 1994b). Flux
balance analysis is based on the fundamental law of mass
conservation and the application of optimization principles
to predict the optimal distribution of metabolic resources
within a network. It thus provides insightful information
about the systemic constraints placed on metabolic function.
The analysis is performed under steady-state conditions and
it only requires information about the stoichiometry of
metabolic pathways and on metabolic demands. This ap-
proach is particularly applicable for postgenomic analysis,
because the stoichiometric parameters can be defined from
the annotated genome sequence of a particular organism
(Edwards and Palsson, 1999, 2000; Schilling and Palsson,
2000b). Flux balance analysis is distinguished from meta-
bolic flux analysis (MFA) where fluxes are experimentally
measured and used to reduce the underdetermined nature of
a metabolic system to allow for the calculation of all the
unknown fluxes under steady-state conditions without in-
voking optimization principles (Stephanopoulos et al.,
1998).

Flux balance analysis has recently been applied to ana-
lyze and predict the metabolic genotype–phenotype relation
from a reaction-based perspective, in which flux activities
for individual reactions are examined (Edwards and Pals-
so, 1998, 1999, 2000; Schilling et al., 1999a). The ability
to interpret predicted metabolic phenotypes from a pathway
perspective in addition to an individual reaction-based per-
spective might shed new light on the complexity of the
phenotype relationship. A pathway perspective will pro-
vide flux activities for systemic metabolic pathways
that are comprised of balanced sets of reactions. These path-
ways are characterized based on their functional and struc-
tural relevance within the system as opposed to their pattern
of historical discovery. Recent approaches have been de-
veloped to perform detailed pathway decompositions of meta-
bolic networks that hold promise in providing the pathway
perspective that is currently sought to complement flux bal-
ance analysis and related optimization techniques.

The pathway analysis of metabolic networks has pro-
gressed itself over the years from simply analyzing wall
charts of metabolic reactions to the recent development of
detailed theories for the in-depth study of the structure of
metabolic networks (for a recent review, see Schilling et al.
[1999b]). The definition of systemic pathways in metabo-
lism is essential for providing a pathway-oriented perspec-
tive to overall metabolic functions and phenotypes. One of
the more promising approaches for performing this identi-

fication and analysis has capitalized on the principles of
convex analysis. These principles include the closely related
concepts of elementary modes (Schuster et al., 1996, 1999)
and extreme pathways (Schilling et al., 2000a), which can
both be used to define the limitations and production capa-
bilities of metabolic systems. These approaches to pathway
analysis share a common underlying mathematical frame-
work with flux balance analysis. Although this connection
has been noted by a number of investigators, a clear defi-
nition and illustration of the formal relationships between
these two approaches is lacking.

In this article we illustrate the precise relationships that
bind metabolic pathway analysis to flux balance analysis,
and illustrate their combined use to interpret shifts in meta-
bolic routing that may occur in response to environmental
and internal/genetic challenges. The combination of these
two approaches allows for a clear interpretation of meta-
bolic phenotypes and flux distributions from the traditional
reaction-based perspective and now from a pathway-
oriented perspective. Many of the relations are illustrated
initially through the use of a hypothetical reaction network
to assist in developing a core understanding of both ap-
proaches and their connections. We then apply the com-
bined approach for the detailed study of the central meta-
bolic network of *Escherichia coli*. The comprehensive
collection of calculations, and illustrations generated for
the work presented is available on-line along with addi-
tional supportive material to assist the reader (http://
gcrg.ucsd.edu/supplementary_data/Pathway_FBA/
default.htm). The complementarities of flux balance and
pathway analysis for studying metabolic systems along with
the combination of emerging genome-scale experimental
technologies should serve to form a useful platform from
which to study the complexity inherent in cellular metabo-
lism for both basic scientific and applied purposes of meta-
biotic engineering (Stephanopoulos, 1999; Yarmush and
Berthiaume, 1997) and biocommodity engineering (Lynd et
al., 1999).

DESCRIPTING METABOLIC SYSTEMS

A metabolic network is a collection of enzyme-catalyzed
reactions and transport processes that serve to dissipate sub-
strate metabolites and generate final metabolites. To de-
scribe metabolic networks in a quantitative manner, dy-
namic mass balances are written for each metabolite in the
network, which generates a system of ordinary differential
equations that describe the transient behavior of metabolite
concentrations:

$$\frac{dX_i}{dt} = \sum_j S_{ij} v_j \quad (1)$$

where $v_j$ corresponds to the $j$th metabolic flux, $[X_i]$ repre-
sents the concentration of the metabolite, and the stoichio-
metric coefficient, $S_{ij}$, stands for the number of moles of
metabolite $i$ formed (or consumed) in reaction $j$. As we are
often interested in the structural characteristics or invariant
properties of the reaction network (Reder, 1988), it is rea-
sonable to place the metabolic system into a steady state,
thus reducing the set of differential equations to a set of
linear homogeneous equations, written in matrix nota-
tion as:

$$S \cdot v = 0 \quad (2)$$

Although the system is effectively closed to the passage
of certain metabolites, others are allowed to enter or exit the
system via exchange fluxes (or pseudoreactions [Clarke,
1980]). These fluxes generally do not represent biochemical
conversions or transport processes like those of internal
fluxes, but can be thought of as representing the inputs and
outputs to the system. For example, the demand for a me-
tabolite for incorporation into cellular biomass creates an
exchange flux on the intracellular metabolite from the meta-
biotic network. The availability of a substrate in the extrac-
cellular environment creates an exchange flux on the extra-
cellular metabolite that represents a source. Thus, all me-
tabolites are internal to the system and a distinction is made
between intracellular and extracellular metabolites within
the system, closing the material balance to all metabolites,
as indicated in Eq. (2).

To complete the description of the metabolic network we
must include the constraints that are placed on fluxes due to
reaction thermodynamics and the systemic characteristics
(input/output) of the network. To simplify matters, we can
decompose all reversible internal reactions into a separate
forward and reverse flux. This decomposition will create a
general constraint that all internal fluxes must be greater
than zero, such as in the directed graph of Figure 1a. For
exchange fluxes we can set upper and lower bounds on the
constraints that represent the corresponding metabolites’
ability to enter or exit the system. (For a more detailed
discussion see Schilling et al. [2000a].)

At this point, we introduce the reaction network shown in
Figure 1a to illustrate these mathematical network descrip-
tions and to provide a simplified example for many of the
theoretical concepts that will be illustrated in what follows.
The system is comprised of five metabolites that are oper-
ated on by seven internal fluxes. Note that fluxes $v_3$ and $v_4$
can be viewed as the decomposition of a reversible reaction
into a forward and a backward reaction. Also, the stoichi-
ometry on flux $v_7$ converts 2 mol of D into 1 mol of E.
Therefore, metabolite C can be directly converted into E

![Figure 1](image-url)

**Figure 1.** (a) Example metabolic reaction network consisting of 5 metabolites and 11 fluxes (7 internal fluxes, 4 exchange fluxes) listed along with the
individual reactions. (b) Mathematical description of the reaction network in the form of steady-state mass balances (linear equalities) and flux con-
straints imposed on the network (linear inequalities). Together this system of linear equalities/inequalities defines the region of admissible flux vectors.
through \( v_6 \); however, if \( v_5 \) and \( v_7 \) are used, the efficiency is cut in half, analogous to a physiological situation in which carbon may be lost as \( \text{CO}_2 \) due to an alternative route to produce a metabolite. We allow metabolites C, D, and E to exit the system as products of the network (either as biomass precursors or final metabolic products). To represent substrates available to the system we allow metabolites A and C to enter the system. These potential sources and sinks on the system create four exchange fluxes operating on the system. Note that the exchange fluxes are defined as outward, thus the value of the flux is negative when the metabolite is entering the system and positive when it is formed as a product of the system. The steady-state mass balances are provided in Figure 1b along with the general constraints that are placed on both the internal and exchange fluxes. Each of the steady-state mass balances corresponds to a row of the stoichiometric matrix. This set of linear homogeneous equations and linear inequalities describes the metabolic system under steady state.

### CONVEX ANALYSIS

As a result of the inequality constraints placed on various fluxes traditional linear algebra can no longer be used to handle such a mathematical system of equalities/inequalities, forcing the use of convex analysis to study the properties of the solution space for this problem. From convex analysis the solution space for any system of linear homogeneous equations and inequalities is a convex polyhedral cone emanating from the origin. We refer to this region of admissible steady-state flux vectors as the flux cone \( C \). All possible solutions, and hence flux distributions, that the system can operate in a steady state are confined to the interior of the flux cone. To uniquely describe a convex cone we must identify the set of extreme rays/generating vectors \( \{p_i\} \) that span the cone (similar to the edges of a pyramid). In the context of metabolic systems we refer to these edges as extreme pathways, as each vector corresponds to a particular pathway or active set of fluxes that satisfy Eq. (2) and the inequality constraints placed on the system. Every point (\( v \)) within the cone can be written as a nonnegative linear combination of these extreme pathways as:

\[
C = \left\{ v : v = \sum_{i=1}^{k} w_i p_i, w_i \geq 0 \forall i \right\}
\]  

(3)

Recently, we presented the detailed theoretical framework and algorithm used for the rapid identification of the set of extreme pathways for any metabolic system (Schilling et al., 2000a). It addition to being unique for a particular system the set of extreme pathways is the absolute minimal set of pathways that can be used to span the flux cone in a convex manner. This set of pathways generating the flux cone may also be referred to as a convex basis, and is more properly said to form the conical hull of the flux cone. As an aside, for those familiar with elementary modes, the extreme pathways are a subset of the elementary modes of a reaction network (Schuster et al., 1999). For a more thorough explanation of the similarities and differences between these approaches one is referred to Pfeiffer et al. (1999) and Schilling et al. (2000a).

The set of extreme pathways for the reaction network described in Figure 1 is provided in vector form in Table I with each pathway illustrated in Figure 2. There are eight extreme pathways \( \{p_1, \ldots, p_8\} \). Two of the pathways \( \{p_7, p_8\} \) display no active exchange fluxes, and thus correspond to internal cycles within the network of which \( p_8 \) is simply a result of decomposing a reversible reaction into two opposite reactions. Using the classification scheme developed previously (Schilling et al., 2000a), these pathways correspond to type III pathways, whereas the remaining six pathways all describe functional pathways through the network (type I) and together represent the true systemic functions or capabilities of the network. Their combined activity can be used to describe any flux distribution of the network as in Eq. (3).

The set of extreme pathways is conically or systemically independent, in analogy to the concept of linear independence from linear algebra. Mathematically this means that the pathways cannot be formed by a positive combination of any other vectors or pathways in the flux cone. From a functional point of view this means that every flux distribution can be reached by either switching pathways off or turning them on to a certain level (this is analogous to having a dial controlling the activity of each extreme pathway). We will illustrate this notion in more detail in the following sections.

### EFFECTS OF BALANCED DEMANDS ON PATHWAY STRUCTURE

Under changing substrate/supply conditions metabolic networks are continuously faced with a balanced set of biosynthetic demands (i.e., production of amino acids, nucleotides, phospholipids, as well as energy and redox potential). This means that the network must generate a balanced ac-

<table>
<thead>
<tr>
<th>Pathway number</th>
<th>Internal fluxes</th>
<th>Exchange fluxes</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>( v_1 )</td>
<td>( v_2 )</td>
</tr>
<tr>
<td>( p_1 )</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>( p_2 )</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>( p_3 )</td>
<td>2</td>
<td>0</td>
</tr>
<tr>
<td>( p_4 )</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>( p_5 )</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>( p_6 )</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>( p_7 )</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>( p_8 )</td>
<td>0</td>
<td>1</td>
</tr>
</tbody>
</table>

*The first six pathways are type I pathways (see Fig. 2). The last two pathways are type III pathways that represent internal cycles in the network (i.e., zero weights on all exchange fluxes). For definition of the pathway classification scheme see Schilling et al., (2000a).
activity through the exchange fluxes for the particular metabolites required to meet these demands. What are the implications of these aggregate demands on the pathway structure of a network?

To assess the systemic performance of a network in meeting biosynthetic demands an exchange flux is introduced into a network representing the aggregate relative demand of the biomass precursors (analogous to an objective function [Varma and Palsson, 1993a]). Additional constraints must also be added to the network to effectively close the material balances on the metabolites participating in the biosynthetic demand (or growth) flux. The introduction of a new exchange flux and the associated restriction of existing exchange fluxes will alter some of the mass balances and linear inequalities of the network. A new pathway structure can be determined based on these changes to the systemic constraints that is functionally related to the original set of pathways, as demonstrated in what follows. To distinguish between the two different forms of output for a system [(1) all material balances closed with an aggregate demand/growth flux included versus (2) no aggregate demand/growth flux and material balances not closed on biosynthetic precursor outputs] we consider the system without a biosynthetic demand flux (as discussed in the previous section) to have free outputs, and the system with balanced network demands is referred to as having linked outputs.

For the example system in Figure 1, we introduce an exchange flux that represents an aggregate demand on the network requiring 1 mol of metabolite C and D along with 2 mol of metabolite E. This flux serves as a balanced drain on the network and is written:

\[ b_z: C + D + 2E \rightarrow \]  

Note that the growth flux only involves metabolites that were previously allowed to exit in the system with free outputs and subsequently to have a preexisting exchange flux. Otherwise, the input and output characteristics of the two representations are no longer compatible and the pathway results will not be complementary. Furthermore, the exchange fluxes for C, D, and E are no longer allowed to serve as direct outputs for the system. This condition will force \( b_2 \) to take on a negative value while constraining \( b_3 \) and \( b_4 \) to zero (see Fig. 3).

The set of extreme pathways for this system is provided in Table II (the schematic illustrations of each pathway are available on-line). We can see that there are ten pathways in total (eight functional pathways of type I, and two type III cyclic pathways identical to those from the free output system in Table I). All functional pathways utilize either A or C, or A and C, to produce the balanced set of demands represented by the growth flux.

![Figure 2](image1.png)

**Figure 2.** Routing patterns for the six type I extreme pathways calculated for the example reaction network. Detailed pathway vectors indicating the individual flux levels in each pathway are provided in Table I.

![Figure 3](image2.png)

**Figure 3.** (a) The example network presented in Figure 1 with the addition of an aggregate demand flux that requires 1 mol of metabolite C and D for every 2 mol of metabolite E. (b) Mathematical description of the network with the changes from Figure 1b indicated in gray type. All changes are a result of the inclusion of the demand exchange flux (a linked output) and the associated alterations to the exchange flux constraints of the participating metabolites.
CALCULATING OPTIMAL FLUX DISTRIBUTIONS

The performance capabilities of any metabolic network reside in the flux cone that we have now seen described by the set of extreme pathways. In fact, the answer to any question related to the general structure and fitness of the network lies within this cone. The pathways offer a convenient way of interpreting metabolic function, but how do we best explore the capabilities and functioning of a metabolic network?

One approach that has recently been used to explore the relationship between the metabolic genotype and phenotype for a number of organisms is flux balance analysis (Edwards and Palsson, 1998, 1999, 2000; Schilling et al., 1999a, 2000). This approach uses linear optimization techniques to determine the optimal flux distributions within a network so as to maximize/minimize a particular objective function. The standard form of a linear programming problem is as follows, where a linear objective function is maximized or minimized subject to a series of linear equalities and inequality constraints:

\[
\begin{align*}
\text{Maximize/minimize} & \quad Z = \sum_{j} c_j v_j \\
\text{subject to} & \quad \sum_{l} S_{lj} v_j = b_j, \quad \alpha_j \leq v_j \leq \beta_j
\end{align*}
\]

Note that Eq. (5) is analogous to the system of linear equalities/inequalities that forms the general description of the metabolic network in Figure 1, where all the components of the \( \mathbf{b} \) vector are zero. In fact, this system of equations is merely a special case of the general system defined by the mass balances and physicochemical constraints (as shown in Fig. 1b). Linear programming will determine the optimal value for an objective that lies within the flux cone spanned by the extreme pathways. Furthermore, the foundations of linear programming are built on the principles of convex analysis, which can be used to link the pathway analysis of metabolic networks outlined earlier with flux balance analysis.

For metabolic applications, the linear objective function

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**Table II.** The ten extreme pathway vectors for the example network in Figure 1 with a linked output.

<table>
<thead>
<tr>
<th>Pathway number</th>
<th>Internal fluxes</th>
<th>Exchange fluxes</th>
<th>Pathway equivalences linked – free</th>
</tr>
</thead>
<tbody>
<tr>
<td>( p_1 )</td>
<td>( v_1 ) = 5</td>
<td>( b_1 ) = -5</td>
<td>( p_1 - p_2 + 2p_3 )</td>
</tr>
<tr>
<td>( p_2 )</td>
<td>( v_2 ) = 1</td>
<td>( b_2 ) = -1</td>
<td>( p_2 - p_3 + 2p_6 )</td>
</tr>
<tr>
<td>( p_3 )</td>
<td>( v_3 ) = 0</td>
<td>( b_3 ) = 0</td>
<td>( p_3 - p_1 + 2p_4 )</td>
</tr>
<tr>
<td>( p_4 )</td>
<td>( v_4 ) = 0</td>
<td>( b_4 ) = 0</td>
<td>( p_4 - p_1 + 2p_5 )</td>
</tr>
<tr>
<td>( p_5 )</td>
<td>( v_5 ) = 6</td>
<td>( b_5 ) = -6</td>
<td>( p_5 - p_1 + 2p_2 )</td>
</tr>
<tr>
<td>( p_6 )</td>
<td>( v_6 ) = 4</td>
<td>( b_6 ) = -4</td>
<td>( p_6 - p_1 + 2p_3 )</td>
</tr>
<tr>
<td>( p_7 )</td>
<td>( v_7 ) = 6</td>
<td>( b_7 ) = -6</td>
<td>( p_7 - p_3 + 2p_4 )</td>
</tr>
<tr>
<td>( p_8 )</td>
<td>( v_8 ) = 4</td>
<td>( b_8 ) = -4</td>
<td>( p_8 - p_2 + 2p_5 )</td>
</tr>
<tr>
<td>( p_9 )</td>
<td>( v_9 ) = 0</td>
<td>( b_9 ) = 0</td>
<td>( p_9 - p_3 + 2p_6 )</td>
</tr>
<tr>
<td>( p_{10} )</td>
<td>( v_{10} ) = 0</td>
<td>( b_{10} ) = 0</td>
<td>( p_{10} - p_4 )</td>
</tr>
</tbody>
</table>

*The first eight pathways correspond to type I pathways, whereas the last two pathways are type III. Pathway equivalencies between the free and linked output representations are provided for each pathway.*
(Z) to be maximized or minimized can correspond to a number of diverse objectives ranging from particular metabolic engineering design objectives (energy and metabolite production) to the maximization of cellular growth (in a manner similar to that seen in the previous section). Regardless of the objective function chosen, the optimal solutions will lie within the flux cone that is defined by the mass balance and physicochemical constraints (i.e., linear inequalities) placed on the system. Otherwise, the solution to the optimization problem will be infeasible. This solution space is virtually the exact same flux cone that we have just seen described in the previous section in terms of the set of extreme pathways for the linked output system. The only difference is that the flux cone must become bounded (a bounded polytope) to calculate an optimal solution.

We consider the growth exchange flux introduced in Eq. (4) as the objective function. To determine the optimal utilization of the metabolic network (so as to maximize the objective function), additional physicochemical constraints must be considered. Specifically, in this example system, the upper bound on the exchange fluxes for metabolites A and C must be set to limit the amount of metabolites A and C available for intake by the network. Physiologically, these constraints may correspond to limited substrate availability or maximal uptake rates or even maximum rates of diffusion-mediated transport. These maximum capacity constraints serve to place certain bounds on the flux cone such that it is no longer an unbounded polyhedral cone. Regions of the flux cone become bounded by the hyperplanes defined from these constraints. Without these constraints the maximum value of any flux in the network could be infinite, and the linear programming problem is unbounded.

Mathematically, the solution space is the sum of a convex polytope (closed surface) and a new polyhedral cone (unbounded) formed by a subset of the original extremal rays (see Appendix for details). The conical hull of the polyhedral cone now corresponds to the pathways that are unaffected by the added constraints, and the convex hull of the polytope is essentially generated from the remaining pathways that have now been constrained. Importantly, all of the vertices of the polytope correspond to bounded feasible solutions of the linear programming problem, whereas the edges of the flux cone correspond to the unbounded feasible solutions. Both the polytope and the polyhedral cone are contained within the original flux cone, which allows for the interpretation of any point in the solution space using the original set of extreme pathways from the linked output system as well as the free output system through their equivalencies, as we will now illustrate.

**LINKING FLUX BALANCE ANALYSIS WITH PATHWAY ANALYSIS**

Consider three cases that represent different environmental and internal challenges that the example network may face in meeting its demands: case 1, only metabolite A is available to the network to meet the demands of the growth flux in Eq. (4); case 2, only metabolite A is available, but the internal flux, \(v_6\), is not functional and is thus constrained to equal zero; and case 3, only metabolite C is available to the network to meet the demands of the growth flux. In each case, we will arbitrarily constrain the input of the available substrate metabolite to a value of 1 (to obtain substrate normalized distributions). We can consider the shift from case 1 to case 2 as a sudden challenge to the internal structure of the network due to a loss of function, and the shift from case 1 to case 3 represents an environmental challenge, namely a change in substrate availability.

Using a commercially available linear programming package (Lindo Systems Inc., Chicago, IL) the optimal flux distributions for these three cases were calculated and are presented in Figure 4. We see that the loss in function of \(v_6\) in case 2 decreases the maximum value of the objective function by 33% from 0.25 to 0.17, essentially forcing the network to perform suboptimally. There is a subsequent shift in the utilization of the metabolic pathways that occurs between case 1 and case 2, and to a more severe extent in the shift from case 1 to case 3 due to the change in substrate. It is these shifts in metabolic routing that we seek to interpret from a pathway perspective using the set of extreme pathways and equivalencies provided in Table II.

In each case, the flux distribution corresponds to an exact scalar multiple of one of the extreme pathways for the linked output system in Table II, implying that the solutions are on the extreme edges of the flux cone. As the environmental/genetic conditions change, the corresponding optimal pathway utilizations shift accordingly across faces of the flux cone. If a reaction is eliminated or constrained to zero, all of the pathways that utilize this reaction will be eliminated. From basic principles of vector addition it becomes apparent that the utilization or weight \((w_i)\) on an extreme pathway in comprising a flux distribution must equal zero if the pathway utilizes the internal flux/reaction that has been eliminated. Therefore, every extreme pathway in the system that has a positive flux value for the eliminated reaction will have \(w_i = 0\) in Eq. (3). This is the situation in case 2 where all of the pathways that can produce metabolite E optimally have been eliminated by the loss of \(v_6\), leading to the utilization of other pathways to meet the demands of the system.

The changes in pathway utilization allow for the interpretation of metabolic shifts in terms of the underlying pathways of the network. These example cases offer an illustration of how pathways may be switched on and off to achieve different flux distributions in a network. Using the equivalencies in Table II we can generate pathway signatures or profiles based on the utilization of pathways for the free output representation as shown in the lower portion of Figure 4. These signatures offer the visual interpretation of pathways being “switched” on and off in order to establish the optimal flux distributions. Taken together, this illustrates how flux distributions and associated metabolic phenotypes can be interpreted from a pathway-based perspec-
From pathway analysis we arrive at a fundamentally different perspective from which to view metabolic behavior such as that predicted through the use of flux balance analysis. In addition to viewing the activity of individual reactions we can effectively interpret metabolic function in terms of the activity of individual pathways. The aforementioned example is useful in illustrating many of the theoretical concepts for a pathway interpretation of metabolic function and, in what follows, we extend these concepts to analyze complex metabolic networks. Although linear optimization is used to calculate flux distributions in all of the subsequent examples, the concepts of pathway representations of flux distributions are general to any flux distribution regardless of whether it is derived experimentally or theoretically.

APPLICATION TO E. COLI CENTRAL METABOLISM

The reconstruction of metabolic networks from annotated genome sequence information is currently an active area of research. From these reconstructions it is possible to study the system characteristics and capabilities of metabolic networks and explore the metabolic genotype–phenotype relationship in fully sequenced organisms using both flux balance analysis and genome-scale pathway analysis. Using flux balance analysis, in silico studies on the systemic properties of the *Haemophilus influenzae* (Edwards and Palsson, 1999) and *E. coli* (Edwards and Palsson, 2000) metabolic networks have recently been completed. In addition, pathway analysis has now been successfully applied to assess the production capabilities and general fitness of a reconstructed metabolic network for *H. influenzae* (Schilling and Palsson, 2000b). In what follows, we will consider a subsystem of the *E. coli* metabolic genotype comprised of the central metabolic pathways. Flux balance analysis will be used to explore the metabolic capabilities and predicted functions of this network under various substrate conditions. Then flux balance analysis will be combined with pathway analysis to provide a complete view of the capabilities and steady-state behavior of the metabolic network from a pathway-oriented perspective.

The schematic illustration of the reactions comprising the central metabolic network of *E. coli* examined in what follows is shown in Figure 5 (the complete table of reactions is provided on-line). Briefly, the network is comprised of the complete set of glycolytic reactions, the pentose phosphate shunt, and the tricarboxylic acid (TCA) cycle without the glyoxylate shunt, along with the necessary transport reactions.
tions required to import and export metabolites from the extracellular space. The necessary reactions of the electron transport chain are included with the P/O ratio set to 4/3. The system boundary is drawn around all of the reactions in the network including the transport reactions. Exchange fluxes have been included to allow for the supply of glucose and succinate as carbon sources available to the system, while ethanol and acetate are allowed byproducts of the system. Oxygen, carbon dioxide, and inorganic phosphate are free to enter and exit the system as needed. (Note that this network does not completely describe central metabolism in *E. coli*. We have chosen this representation as a compromise between successful representation of the basic aspects of central metabolism and providing a useful example of the salient features of this combined approach for studying metabolic systems.)

Pathway Analysis for the Free Output System

To study the pathway structure of the system with free outputs it is necessary to include exchange fluxes for all of the necessary precursors and cofactors that are utilized to generate biomass. In this example we define the metabolic demands as those provided in Table III, which were studied in an earlier model of *E. coli* central metabolism (Varma and Palsson, 1993a). The metabolic demands considered for each metabolite are used to generate the growth flux used when considering the linked output system. We focus first on the free output system without the aggregate demand flux.

For the case of free outputs we introduce an exchange flux for each of the biosynthetic precursors that is constrained only to allow the metabolite to exit the system.

**Figure 5.** Central metabolic network of *E. coli* considered in the pathway and flux balance analysis. The system is comprised of 53 metabolites, 78 internal fluxes, and 8 exchange fluxes. A complete list of the net reaction capable of occurring in the network is provided on-line with complete reaction names.
thus closing the mass balances on all of these metabolites. Metabolites that were introduced in the free output system, for generating weight (DW) biomass. We also that represents the metabolic demands presented in Table III. For the case of linked outputs a growth flux is introduced previously demonstrated for Palsson, 2000b). However, our interest is in studying the precursors and energy/redox cofactors required for the generation of 1-g biomass yield as used in Varma and Palsson (1993a) and estimated from cellular composition data (Ingraham et al., 1983).

<table>
<thead>
<tr>
<th>Metabolite</th>
<th>Demand (mmol)</th>
</tr>
</thead>
<tbody>
<tr>
<td>ATP</td>
<td>41.3</td>
</tr>
<tr>
<td>NAD⁺</td>
<td>3.5</td>
</tr>
<tr>
<td>NADPH</td>
<td>18.2</td>
</tr>
<tr>
<td>G6P</td>
<td>0.2</td>
</tr>
<tr>
<td>F6P</td>
<td>0.1</td>
</tr>
<tr>
<td>R5P</td>
<td>0.9</td>
</tr>
<tr>
<td>E4P</td>
<td>0.4</td>
</tr>
<tr>
<td>GA3P</td>
<td>0.1</td>
</tr>
<tr>
<td>3PG</td>
<td>1.5</td>
</tr>
<tr>
<td>PEP</td>
<td>0.5</td>
</tr>
<tr>
<td>PYR</td>
<td>2.8</td>
</tr>
<tr>
<td>ACCOA</td>
<td>3.7</td>
</tr>
<tr>
<td>OXA</td>
<td>1.8</td>
</tr>
<tr>
<td>AKG</td>
<td>1.1</td>
</tr>
<tr>
<td>SUCCOA</td>
<td>(Trace)</td>
</tr>
</tbody>
</table>

These demands do not include energy requirements for non–growth-associated maintenance. The growth flux is a summation of the drains of each metabolite multiplied by the demand weights given in the table.

Unconstrained exchange fluxes are added for currency metabolites such as ATP, ADP, AMP, NADH, and NADPH. Therefore, we expect to generate extreme pathways that lead directly from a substrate to a particular precursor or metabolic byproduct (type I pathways) along with a number of pathways and cycles that interconvert only cofactors and currency metabolites (type II pathways). In the case where glucose is considered as the only available substrate the total number of extreme pathways is 1093 (1053 type I, 10 type II, and 30 type III). For the case with succinate as the only available substrate, the number of pathways reduces to 195 extreme pathways (155 type I, 10 type II, 30 type III). These sets of pathways contain all of the optimal as well as suboptimal conversion ratios of each substrate to all of the precursors and can be used to interpret the specific capabilities of the network and other systemic properties, as previously demonstrated for H. influenzae (Schilling and Palsson, 2000b). However, our interest is in studying the phenotype or the utilization of the network to meet the balanced set of precursor demands required to generate biomass, rather than focusing on the production of particular precursors of interest. Therefore, we now examine the linked output system using pathway analysis initially, and then combine this with flux balance analysis.

Pathway Analysis for the Linked Output System

For the case of linked outputs a growth flux is introduced that represents the metabolic demands presented in Table III for generating 1 g of dry weight (DW) biomass. We also remove the exchange fluxes for the precursors and currency metabolites that were introduced in the free output system, thus closing the mass balances on all of these metabolites. The system now has eight exchange fluxes (extracellular glucose, succinate, ethanol, acetate, carbon dioxide, oxygen, inorganic phosphate, and the intracellular biomass). In all further calculations, glucose and succinate are constrained to only enter the system, whereas ethanol, acetate, and biomass are constrained to only exit the system. The remaining exchange fluxes are unconstrained (oxygen, carbon dioxide, and inorganic phosphate). With the decomposition of reversible internal fluxes into a forward and reverse reaction the system is comprised of 78 internal fluxes and 8 exchange fluxes. The extreme pathways for the linked output system will involve flux distribution patterns that the system can use to generate biomass, completely oxidize the carbon source, or convert it into one of the byproducts.

First, we consider glucose to be the only substrate available to the network. In this case, there are a total of 115 extreme pathways (85 type I, 30 type III). The type III pathways are all identical to those of the free output system and no type II pathways remain as all of the cofactors are now forced to balance. There are four futile cycles in the system (sets of reactions that can utilize and convert ATP to ADP and inorganic phosphate), those sets being (pfkA/fbp), (pckA, ppc), (pykF, ppsA, adk), and the ATP drain flux, which is used to represent non–growth-associated maintenance.

After careful inspection of the 85 type I pathways it is apparent that many of them are in sets of four pathways that are identical, except for the utilization of a different futile cycle for the dissipation of ATP so as to balance the net production and consumption of ATP. All of these pathways in a set exhibit the same values for the exchange fluxes, illustrating their functional equivalence. To generate a reduced set of pathways that represents the full capabilities of the network we retain only pathways from these sets that utilized the ATP drain flux instead of one of the three futile cycles listed earlier. The type III pathways, which are mainly a consequence of the decomposition of reversible reactions into a forward and a reverse reaction, are also removed from consideration, as they show no activity in the exchange fluxes. Following these reductions we are left with 30 pathways. Table IV provides the details for the exchange fluxes of these pathways normalized to the input value for the substrate glucose.

The pathway analysis was also performed with succinate as the sole carbon source for the system, generating the complete set of 66 extreme pathways (36 type I, 30 type III). Following the same simplification procedure just described, a reduced set of 12 pathways is generated from the complete set (Table V). All of the detailed pathway vectors for the complete and reduced sets in both cases are provided online.

For both glucose and succinate as the sole carbon source there are pathways that generate biomass and either produce CO₂, CO₂ and acetate, or CO₂ and ethanol as byproducts. Similar pathways also exist for generating these byproducts without generating biomass. It is important to note that the network does not allow for the generation of formate or succinate as metabolic byproducts, due to the increased
Table IV. Functional characteristics of the reduced set of 30 extreme pathways calculated for glucose as the sole carbon for the E. coli metabolic network.\(^a\)

<table>
<thead>
<tr>
<th>Pathway number</th>
<th>Exchange fluxes(^b)</th>
<th>Net pathway reaction balance</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>GLCxt/GLCxt</td>
<td>ETHxt/GLCxt</td>
</tr>
<tr>
<td>2</td>
<td>-1.000</td>
<td>0</td>
</tr>
<tr>
<td>24</td>
<td>-1.000</td>
<td>0</td>
</tr>
<tr>
<td>21</td>
<td>-1.000</td>
<td>0</td>
</tr>
<tr>
<td>23</td>
<td>-1.000</td>
<td>0.125</td>
</tr>
<tr>
<td>38</td>
<td>-1.000</td>
<td>0.178</td>
</tr>
<tr>
<td>4</td>
<td>-1.000</td>
<td>0</td>
</tr>
<tr>
<td>42</td>
<td>-1.000</td>
<td>0.199</td>
</tr>
<tr>
<td>49</td>
<td>-1.000</td>
<td>0</td>
</tr>
<tr>
<td>52</td>
<td>-1.000</td>
<td>0</td>
</tr>
<tr>
<td>3</td>
<td>-1.000</td>
<td>0.330</td>
</tr>
<tr>
<td>35</td>
<td>-1.000</td>
<td>0.330</td>
</tr>
<tr>
<td>45</td>
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<td>0.595</td>
</tr>
<tr>
<td>3</td>
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<tr>
<td>18</td>
<td>-1.000</td>
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</tr>
<tr>
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<td>-1.000</td>
<td>0.1000</td>
</tr>
<tr>
<td>52</td>
<td>-1.000</td>
<td>0</td>
</tr>
<tr>
<td>57</td>
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<td>2.000</td>
</tr>
<tr>
<td>61</td>
<td>-1.000</td>
<td>2.000</td>
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<tr>
<td>65</td>
<td>-1.000</td>
<td>0</td>
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<tr>
<td>69</td>
<td>-1.000</td>
<td>1.667</td>
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<tr>
<td>72</td>
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<tr>
<td>75</td>
<td>-1.000</td>
<td>1.667</td>
</tr>
<tr>
<td>84</td>
<td>-1.000</td>
<td>0</td>
</tr>
</tbody>
</table>

\(^a\)Pathways are ordered based on activity of the growth flux normalized to the glucose uptake. Pathway numbers coincide with the original numbers of the pathway vectors retained from the complete set (available on-line). All exchange flux values are normalized to the glucose intake level (negative values are relative uptake ratios, positive values are production ratios).

\(^b\)GLC, glucose; ETH, ethanol; AC, acetate; PI, inorganic phosphate; CO2, carbon dioxide; O2, oxygen; GRO, biomass/growth flux (see Table III).
number of pathways that would be generated based on these conditions. This increase in pathway number is sufficient enough to distract from the main theoretical issues being illustrated herein, and was therefore not considered. For glucose we see that the maximal yield of biomass in any pathway is 0.105 g DW/mmol glucose (0.583 g DW/g glucose), whereas, for succinate, the maximal yield of succinate is 0.051 g DW/mmol (0.432 g DW/g succinate). These yields cannot be exceeded, as the combination of any set of extreme pathways according to Eq. (3) will not surpass the ratio of biomass/substrate of the optimal pathway. In each case, the minimum biomass yield is zero, as pathways do exist that do not produce biomass. For glucose, the range of oxygen consumption to substrate uptake varies from 0.0 to 6.0 mol O₂/mol glucose, whereas, for succinate, the range narrows from 0.5 to 3.5 mol O₂/mol succinate. Any oxygen/substrate consumption ratios outside of these ranges are infeasible. Other similar systemic constraints can be defined from these pathways that will set boundaries on the functional capabilities of the network. Recall that these sets of extreme pathways define the edges of the flux cone that contain all of the possible metabolic phenotypes possibly displayed by the network. Therefore, it is possible to bracket the behavior of the network from the pathway analysis. Next, we illustrate how the pathways can be used to gain a deeper understanding of shifting metabolic behaviors as determined using flux balance analysis.

FLUX BALANCE ANALYSIS AND PHENOTYPIC PHASE PLANES

Flux balance analysis can be used to examine quantitatively the linked output system detailed earlier. Geometrically, the constraints that are imposed on the inputs of the exchange fluxes for the substrates will bound the flux cone determined by the extreme pathways; thus resulting in a bounded polyhedron. Optimal solutions within this space will then lie on a vertex of the polyhedron. These are the bounded feasible solutions of the linear programming problem. First, we calculate the optimal flux distributions for growth on glucose and succinate normalized to 1 mmol of substrate. Illustrations of the optimal flux distributions are 0.105 g DW/mmol glucose and 0.051 g DW/mmol succinate, which are identical to the optimal yield calculated for growth of E. coli K-12 in batch culture on glucose (0.096 g DW/mmol [n = 6, SD = 0.010]). For growth on succinate the optimal yield is 0.050 g DW/mmol [n = 13, SD = 0.006].

All metabolic phenotypes (metabolic flux distributions) attainable from a defined metabolic system are mathematically confined to the flux cone. Flux balance analysis is used to search through the flux cone for a solution that maximizes/minimizes a given objective function. It has been shown that, under nutritionally rich growth conditions (i.e., cell is not starved for phosphate or nitrogen), E. coli grows in a stoichiometrically optimal manner (Varma and Palsson, 1994a); thus, setting the growth flux as the objective function produces physiologically meaningful results. The optimal flux distribution is only meaningful when interpreted in terms of the specific environmental conditions. Therefore, phenotype phase planes (PhPPs) have been calculated to define the range of optimal phenotypic behavior in the context of a number of environmental parameters (Edwards and Palsson, 1999; Varma et al., 1993b). These phase planes illustrate how optimal phenotypic behavior is dependent on the environmental conditions, and can be used to delineate fundamentally different regions of metabolic behavior in a multiparameter space. In what follows, we show that the demarcations on the PhPP that are generated by flux balance analysis (FBA) and linear programming are identical to the projections of the extreme pathways onto the relevant subspace.

The methodology for defining PhPPs has been described by Edwards et al. (currently in review by B & B). Herein, we briefly describe the construction of PhPPs in the context of the metabolic pathway analysis. The exchange fluxes or nutrient uptake rates for two metabolites (such as the carbon substrate and oxygen) can form two axes on an (x,y)-plane (these exchange fluxes were two unit vectors in R²). The optimal metabolic flux distribution is calculated for all points in this plane using linear programming. Each of the two exchange fluxes for the two metabolites considered in the phase plane is set to equal a precise value based on the coordinates in the plane, and optimal solutions are calculated. In other words, the maximum value of the objective function is found as the position of the hyperplanes that bound the flux cone in the respective directions is moved.

Through an examination of the shadow prices (linear programming dual variable), it is determined that there is a finite number of fundamentally different optimal metabolic flux distributions present in such a plane (Varma et al., 1993b). The shadow prices are measurements associated with every metabolite in the network, and indicate the intrinsic value of the metabolite. They are calculated from the dual problem of a linear optimization and are defined as follows:

\[ \gamma_i = -\frac{dZ}{db_i} \]  

(6)

The shadow prices (\(\gamma_i\)) essentially define the sensitivity of the objective function (Z) to changes in the availability of each metabolite/substrate, whereas \(b_i\) defines the violation of the mass balance constraint and is equivalent to an uptake reaction. The shadow price can be negative, zero, or posi-
ative, depending on the value of the metabolite to the objective function. Through observations of the shadow prices it can be determined when a new basic feasible solution has been introduced into the optimal solution. Because the extreme pathways of the linked output system are equivalent to the basic feasible solutions, this situation indicates a shift in the metabolic behavior corresponding to a new extreme pathway being utilized. Thus, the weight \( w \) on a particular extreme pathway increases from zero to a positive value in describing the flux distribution, as in Eq. (3). This procedure leads to the demarcation of distinct regions, or “phases,” in the plane where the same pathways are always being utilized to varying extents. In each phase, the optimal use of the metabolic network is fundamentally different, corresponding to different optimal phenotypes.

In terms of the pathway analysis, the PhPP is basically generated by the projection of selected edges of the flux cone into a two-dimensional or, in some cases, a three-dimensional space spanned by the fluxes used to generate the particular phase plane. The boundaries of the phases within the PhPP correspond to particular extreme pathways. The entire region corresponding to a particular phase is subsequently the positive combination of the two extreme pathways that bound the phase. Thus, as we traverse through the phase plane we are essentially moving along the faces of the flux cone. In addition, the internal fluxes that will define the distinct phenotypes in each phase can be identified directly from the extreme pathways. The difference between the FBA phenotype phase plane and the extreme pathway projection is that the phase plane analysis only identifies the pathways that are used under optimal conditions, whereas the extreme pathway analysis maps all the pathways onto the same two-dimensional space. The remaining pathways that do not generate the boundaries of the PhPP represent suboptimal solutions or alternate optimal solutions. We now illustrate these concepts for growth on succinate using the succinate–oxygen PhPP.

**SUCCINATE–OXYGEN PHENOTYPIC PHASE PLANE**

The succinate–oxygen PhPP contains four distinct metabolic phenotypes (Fig. 6). The dark lines define the boundaries of each region in the phase plane as calculated using linear programming, and the lighter lines are the additional three-dimensional spaces spanned by the fluxes used to generate the particular phase plane. There are several distinguishing characteristics of the succinate–oxygen PhPP. First, the PhPP illustrates that the metabolic network is unable to utilize succinate as the sole carbon source for growth in an anaerobic environment, as evidenced by the PhPP only covering a region of the positive quadrant and the fact that all extreme pathways that generate biomass contain input exchange fluxes for succinate and oxygen. Second, the PhPP has three futile regions. (These are defined as regions where increased uptake of one of the substrates has a negative effect on the ability of the metabolic network to support growth [Edwards et al., currently in review by B & B]: one where oxygen is in excess [region 1]; and two where the carbon source is in excess [regions 3 and 4].) The futile regions can be identified as regions in which the slope of the isoclines is positive, where the isocline is defined as the locus of points for which the combination of the two exchange fluxes will provide for the same optimal value of the objective function. The succinate–oxygen PhPP also contains one dual-substrate limitation region (region 2).

Region 1 (Fig. 6a) is an oxygen-excess-futile region. Additional carbon is consumed to eliminate the oxygen that is provided in excess. Therefore, this region is wasteful, and less carbon is available for biomass production because it is oxidized to eliminate the excess oxygen. The ability of the metabolic network to produce high-energy phosphate bonds is not limiting growth in the futile region. The pathways in Table V are projected onto the phase planes based on the ratio of oxygen uptake to substrate uptake (Fig. 6b). It is seen that pathways #23 and #35, which project onto the same line, bound region 1 at a maximum oxygen/succinate uptake ratio of 6. These pathways do not generate biomass and, subsequently, the biomass yield decreases as the oxygen/succinate ratio increases moving the position in region 1 closer to the upper boundary than the lower boundary.

Separating region 1 from region 2 is a line defined as the line of optimality. This line represents the optimal relation between the two exchange fluxes. The biomass yield on the line of optimality is 0.051 g DW/mmol succinate. The line of optimality corresponds to the extreme pathway #1 in Table V. The optimal utilization of the metabolic pathways involves the cyclic operation of the TCA cycle, no flux in the oxidative branch of the pentose phosphate pathway (PPP), the utilization of the malic enzyme to produce pyruvate and NADPH, and CO$_2$ production as the only metabolic byproduct. The metabolic flux distribution for a point on this line is available on-line.

In region 2, the availability of succinate and oxygen is limiting the biomass production. Thus, this region is considered a dual-substrate-limited region, and the dual limitation is immediately apparent by the negative slope of the isoclines in region 2. The optimal metabolic flux distribution in this region leads to acetate production, and thus an extreme pathway that includes the acetate exchange flux is turned on. The activated pathway is the extreme pathway separating region 2 from region 3 and corresponds to pathway #20 in Table V, which produces acetate and biomass with a yield of 0.047 g DW/mmol succinate. Thus, every point in region 2 is a positive combination of pathway #1 and pathway #20, which results in a biomass yield ranging from 0.047 to 0.051 g DW/mmol succinate, and an acetate production level ranging from 0 to 0.158 mmol acetate/mmol succinate.

In regions 3 and 4, the uptake of additional succinate has a negative effect on the objective function. The succinate utilized by the metabolic network beyond the demarcation is not utilized to increase the objective function. Rather, cellular resources are required to eliminate the excess succi-
nate. Region 3 is bounded by pathway #20 and pathway #19, which produces ethanol as a byproduct. Therefore, any combination of these two pathways will lead to the combined production of acetate (from pathway #20) and ethanol (from pathway #19). Starting in region 2 and traversing into region 3 the metabolic network will turn off pathway #1 and turn on pathway #19. From the complete pathway vectors that provide the flux values for the internal fluxes (provided on-line), it is seen that the sucA and sucC reactions are predicted to shut off and the adhE reaction is turned on, while a number of other sets of reactions will carry increasing or decreasing flux levels. Traversing into region 4, the metabolic network will turn off pathway #20 and turn on pathway #31, which generates ethanol and CO₂ with no biomass production, thus serving to decrease the objective function. When the oxygen/succinate uptake ratios decreases to 2.00 the network will utilize pathway #31 only, and biomass production will reach zero. An oxygen/succinate uptake ratio below 2.00 is considered infeasible for network processing (these points will lie outside of the flux cone).

By determining the extreme pathways that demarcate the regions of the PhPP we are able to determine the precise theoretical range of biomass yield, oxygen/substrate uptake ratios, and the exact combination of pathways that characterize the predicted optimal metabolic phenotypes in each region. This pathway perspective may assist in the identification of pathways and associated reaction sets that are predicted to be switched on/off during a metabolic transition into an alternative functional modality.

GLUCOSE–OXYGEN PHENOTYPIC PHASE PLANE

The glucose–oxygen PhPP contains five regions (Fig. 7a) also bounded by sets of extreme pathways (Fig. 7b). Region 1 is an oxygen-excess-futile region, similar to region 1 for succinate. It is unlikely that the network would ever operate in this region, as it is futile. Based on the positive slope of the isoclines in this region we would expect the network to decrease the oxygen uptake rate, which will increase the biomass yield and bring the behavior closer to the line of

Figure 6. Succinate–oxygen phenotypic phase plane. (a) Four regions of metabolic behavior are shown on the two- and three-dimensional phase portraits. The isocline shading indicates regions in which the objective function (biomass yield) remains constant. (b) Projection of the 12 pathways in Table V onto the phase planes. Pathways are plotted based on the slope of the line as determined from the ratio of the oxygen exchange flux versus the succinate exchange flux. Bold lines and the corresponding bold pathway numbers indicate the pathways corresponding to the boundaries of the phases. Thin lines and corresponding pathway numbers reveal the additional pathways that project onto either the interior of the cone or a face of the cone as evidenced in the three-dimensional phase plane.
Table V. Functional characteristics of the reduced set of 12 extreme pathways calculated for succinate as the sole carbon source for the E. coli metabolic network.

<table>
<thead>
<tr>
<th>Pathway number</th>
<th>Exchange fluxes</th>
<th>Net pathway reaction balance</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>SUCCxt</td>
<td>SUCCxt + 0.188 PIxt + 0.121 O2xt + 0.147 CO2xt</td>
</tr>
<tr>
<td>2</td>
<td>ETHxt/</td>
<td>ETHxt + 0.121 PIxt + 0.147 O2xt + 0.147 CO2xt</td>
</tr>
<tr>
<td>3</td>
<td>GRO/</td>
<td>GRO + 0.121 PIxt + 0.147 O2xt + 0.147 CO2xt</td>
</tr>
<tr>
<td>4</td>
<td>PIxt/</td>
<td>PIxt + 0.121 PIxt + 0.147 O2xt + 0.147 CO2xt</td>
</tr>
<tr>
<td>5</td>
<td>CO2xt</td>
<td>CO2xt + 0.121 PIxt + 0.147 O2xt + 0.147 CO2xt</td>
</tr>
<tr>
<td>6</td>
<td>O2xt</td>
<td>O2xt + 0.121 PIxt + 0.147 O2xt + 0.147 CO2xt</td>
</tr>
</tbody>
</table>

Pathways ordered based on the activity of the growth flux normalized by the succinate uptake. Pathway numbers coincide with the original numbers of the pathway vectors retained from the complete set (available on-line). All exchange fluxes are normalized to the succinate intake level (negative values are uptake ratios, positive values are production ratios).
metabolic utilization as calculated using linear programming. Using phenotypic phase planes, it is realized how pathways can be interpreted as being switched on and off as environmental parameters change in time. This interpretation made use of the extreme pathways for the linked system, which correspond to the basic feasible solutions of the linear programming problem created through the introduction of maximum capacity constraints. As demonstrated earlier, the extreme pathways for the linked output system that are determined to comprise a flux distribution may be decomposed into pathways calculated for free output description, which provides a more detailed look at pathway utilization.

**ALTERNATIONS TO INTERNAL STRUCTURE OF THE NETWORK**

In the previous two sections, environmental challenges to the metabolic network were considered through examination of the optimal network performance across a complete parameter space for exchange fluxes. In this section the focus shifts to assessing the effects of internal challenges to the network on overall performance and pathway utilization. All pathways in Tables IV and V that do not form the boundary of a region in the PhPP for either glucose or succinate (as shown in Figs. 6 and 7) are suboptimal pathways and will not be used under optimal conditions. These suboptimal extreme pathways will be utilized when alterations are made to the reaction network/metabolic genotype that lead to the removal of one or more of the optimal extreme pathways. Removal of internal reactions through either gene deletions or a loss in enzymatic function has the effect of completely eliminating any extreme pathway that utilizes the reaction being removed.

To illustrate the correlation between removal of a reaction and the corresponding impact on pathway structure and optimal performance of the network, each reaction in Figure 5 was individually eliminated from the network and optimal flux distributions were calculated using flux balance analysis. This was performed for both substrate growth conditions, and optimal biomass yields are provided in Table VI. To determine the effects on the pathway structure, all extreme pathways generating biomass that utilize the disabled
reaction are indicated in Table VI. These pathways can no longer be used by the system. If there are no extreme pathways remaining that can be utilized to generate biomass then the network is completely disabled. However, in many cases, there are viable pathways that remain intact despite the loss of a reaction. From the remaining pathways, the one with the highest biomass yield can be determined from the information in Tables IV and V, and often it will be suboptimal with respect to a pathway that has been eliminated. In comparing the optimal yields as calculated using linear programming versus the extreme pathways available to the system in the face of the internal challenges, it is seen that the predicted optimal growth yield and flux distribution corresponds precisely with one of the remaining extreme pathways that has the highest ratio of biomass to substrate uptake. This gain provides a clear representation of the connection between pathway analysis and flux balance analysis. For a number of reactions the effects of removal are identical due to the fact that a number of reactions belong to the same reaction/enzyme subset (Pfeiffer et al., 1999; Schilling and Palsson, 2000b). These subsets correspond to reactions that always occur together in the same ratio in all pathways in which they are active (i.e., they are dependent on each other). Table VII lists the enzyme subsets for the E. coli central metabolic network considered in both cases.

Internal challenges will also have a profound effect on how the network responds in the face of environmental challenges. To examine these combined challenges, the

Table VI. Pathway and flux balance analysis results associated with removal of individual reactions or genes.

<table>
<thead>
<tr>
<th>Gene Name</th>
<th>Pathways available</th>
<th>Optimal Biomass Yield</th>
<th>Pathways available</th>
<th>Optimal Biomass Yield</th>
</tr>
</thead>
<tbody>
<tr>
<td>arcE</td>
<td>1</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>ackA</td>
<td>2</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>acnA</td>
<td>3</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>aldH</td>
<td>4</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>adk</td>
<td>5</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>apnA</td>
<td>6</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>cydA</td>
<td>7</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>citA</td>
<td>8</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>fba</td>
<td>9</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>frdA</td>
<td>10</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>furA</td>
<td>11</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>gapA</td>
<td>12</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>gdhA</td>
<td>13</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>gnd</td>
<td>14</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>gpmA</td>
<td>15</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>idDA</td>
<td>16</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>mdh</td>
<td>17</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>nusA</td>
<td>18</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>pckA</td>
<td>19</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>pfkA</td>
<td>20</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>pgI</td>
<td>21</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>pyk</td>
<td>22</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>pykF</td>
<td>23</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>pta</td>
<td>24</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>pykF</td>
<td>25</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>rpe</td>
<td>26</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>rpiA</td>
<td>27</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>sdhA1</td>
<td>28</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>sdhA2</td>
<td>29</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>swcA</td>
<td>30</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>swcC</td>
<td>31</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>ttaA</td>
<td>32</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>tkdA1</td>
<td>33</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>tkdA2</td>
<td>34</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>tspA</td>
<td>35</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
<tr>
<td>zmf</td>
<td>36</td>
<td>0</td>
<td>2 3 4 5 6 7 8 9 10</td>
<td>1 (0.051)</td>
</tr>
</tbody>
</table>

*Paths that utilized the removed reaction are indicated in dark gray boxes, with the X indicating that they are no longer available due to the removal of the reaction. Light gray boxes are pathways still available that generate a biomass yield. The pathway with the highest yield in each case is indicated by the double-bordered boxes. Optimal biomass yields as calculated using linear programming are indicated for each deletion on both substrates in units of grams dry weight per millimole of substrate.*
PhPP studies examined in the previous section can be repeated for each of the network deficiencies examined in Table VI. In Figure 8, the 12 extreme pathways for growth on succinate are projected into the oxygen–succinate plane and the regions of the phase plane are calculated using flux balance analysis to determine the qualitatively different regions of metabolic function. In comparing the wild-type situation (Fig. 8a) to the deletion of any one of the genes comprising the oxidative branch of the pentose phosphate shunt (Fig. 8b), there is only one pathway that remains capable of generating biomass, and this pathway forms the boundary between the two regions of the phase plane. The other two pathways that generate the boundaries do not produce biomass. Figure 8c represents the loss of either the sucA or sucC reaction. In this case, many of the original pathways remain intact with the exception of the optimal pathway utilized in the standard wild-type network. Only one of the boundaries to the phase plane shifts, indicating the utilization of a different pathway that is now optimal due to the loss of the original optimal pathway. Taken together, this illustrates how an internal challenge to a network forces the utilization of a different set of pathways under changing environmental conditions.

Table VII. Enzyme subsets calculated for the E. coli central metabolic network considering both glucose succinate as possible substrates.

<table>
<thead>
<tr>
<th>Reaction/enzyme subsets</th>
</tr>
</thead>
<tbody>
<tr>
<td>(AC trxE, ackA, pta)</td>
</tr>
<tr>
<td>(ETH trxE, adhE)</td>
</tr>
<tr>
<td>(O2 trx, cyoA)</td>
</tr>
<tr>
<td>(fba, tpiA)</td>
</tr>
<tr>
<td>(gapA, pgk)</td>
</tr>
<tr>
<td>(gpmA, eno)</td>
</tr>
<tr>
<td>(ppxA, adk)</td>
</tr>
<tr>
<td>(zwf, pgl, gnd)</td>
</tr>
<tr>
<td>(tklAl, talA)</td>
</tr>
<tr>
<td>(glaA, acnA, icdA)</td>
</tr>
<tr>
<td>(sucA, sucC)</td>
</tr>
<tr>
<td>(adhAI, famA, mdh, sdhA2)</td>
</tr>
</tbody>
</table>

Figure 8. Pathway projections and phase plane outlines for mutant strains with succinate as the substrate. For (a) wild-type, (b) zwf, pgl, or gnd deletion, (c) sucA or sucC deletion, each of the pathways available to the network are indicated by the solid black lines, whereas those no longer available due to the deletion are indicated by the solid gray lines. Points outlining the different regions of the phase plane for each case are indicated by the filled circles as calculated using linear programming optimizing for the biomass yield.
DISCUSSION

Using a simple example network and a detailed network of *E. coli* central metabolism, we have illustrated how metabolic phenotypes (flux distributions) and shifts in metabolic behavior can be interpreted from a pathway-based perspective. From the physical principles of mass conservation and the constraints of reaction thermodynamics, the mathematical concepts of convex analysis are used to define sets of extreme pathways that span the entire flux space/cone for complex metabolic networks. These pathways represent balanced sets of metabolic reactions whose functional characteristics together represent the capabilities of the metabolic network. From a pathway-oriented perspective, systems can be studied in terms of their ability to generate particular metabolic precursors (free outputs) or examined for their ability to generate a balanced set of these biosynthetic demands (linked outputs). For both approaches, network flux distributions, such as those generated using optimization techniques (e.g., flux balance analysis), can be interpreted as nonnegative combinations of the extreme pathways as indicated mathematically in Eq. (3). These different approaches allow for two different pathway perspectives (free versus linked outputs), which are related to each other by precise pathway equivalencies (not to be confused with equivalencies among reactions).

Using flux balance analysis, the complete range of optimal metabolic phenotypes can be examined under defined environmental and genetic conditions through the use of phenotypic phase planes (PhPPs). For the *E. coli* network, the PhPPs were generated for growth on succinate and glucose spanning particular regions and dimensions of the flux cone. Projecting the set of extreme pathways onto the PhPP reveals the precise pathway utilizations that are occurring in each region of metabolic behavior. The pathways serve to bracket the behavior in each region, which creates ranges of biomass yield along with oxygen and substrate uptake limitations. In the face of internal challenges to the structure of the network the set of viable extreme pathways is reduced, in turn limiting the manner in which a network operates under changing environmental conditions, leading to changes in the phase plane. The flux cone becomes smaller due to the loss of extreme pathways, which imposes further constraints on its functional capabilities.

Using the pathways it is possible to translate back to a reaction-based interpretation of the predicted metabolic phenotypes. This reveals sets of reactions that are completely inactivated or activated as well as subtle changes in either the increased or decreased utilization of various reactions. Combining flux balance analysis and pathway analysis provides the ability to examine metabolic capabilities and interpret optimal metabolic phenotypes from both reaction- and pathway-oriented perspectives. Together these approaches can be used to examine quantitatively the constraints placed on metabolic activity due to the structure and connectivity of the reaction network and the physicochemical principles that govern biological systems.

Ideally, we would like to study the pathway structure and utilization for an entire organism with this combined approach. Flux balance analysis is easily capable of handling large networks as linear programming has been designed over the years to handle problems with millions of variables. The same cannot be said for convex analysis algorithms associated with enumerating pathways in networks in any field. For small networks, such as the one in Figure 1, computing the set of extreme pathways is quite trivial. However, as the size of the network increases in a linear fashion, the time to calculate the extreme pathways as well as the number of pathways increases in an exponential fashion. In the traditional language of theoretical computer science the problem of enumerating the vertices of a polyhedral cone is referred to as an NP-hard problem, such as the “traveling salesman” problem (Papadimitriou and Steiglitz, 1998). Although it is feasible to construct comprehensive flux balance models for completely reconstructed metabolic genotypes, it is not feasible to enumerate all pathways present in such a network for interpreting metabolic function. A very conservative estimate would place the number of pathways in *E. coli* well over $10^9$. Although it is important to calculate these complete sets for the identification of more detailed systems properties related to the capabilities of the network, the sheer number of pathways may complicate the combined pathway/flux balance analysis approach to an extent that makes the interpretation overwhelming. This problem of excessive pathways can be partially dealt with by either focusing on particular situations (both environmental and genetic) that would reduce the system as we have done in this article, or to properly subdivide the metabolic system to solve for pathways in each subsystem that may be pieced together to interpret metabolic capabilities (Schilling and Palsson, 2000b).

Neither flux balance analysis nor pathway analysis incorporates information on reaction kinetics and regulation, limiting their insight into dynamic responses. From these approaches it is possible to realize some of the fundamental constraints that metabolic systems are faced with and define the flux cone that contains all admissible steady-state flux vectors. As detailed information on in vivo reaction dynamics is accumulated, the ability to analyze, interpret, and perhaps predict dynamic responses of metabolic networks to environmental and genetic perturbations using dynamic modeling approaches may become more feasible. For the optimal phenotypes calculated in a wild-type strain to be realized in vivo a regulatory network that achieves this state must be present. This would then assume that a regulatory scheme has evolved to optimize the same parameters optimized in the linear programming (i.e., growth). Although this may or may not be the case, in general, regulatory schemes and reaction dynamics will serve to further constrain metabolic behavior to operate in confined subspaces of the flux cone. Identifying these regions from both the theoretical and experimental side represents a challenge for the future.

A number of experimental technologies have now made the holistic study of biological systems feasible beyond the theoretical realm. The ability to assimilate DNA chip-based
Figure A1. A convex cone, a generic polyhedron, and a polytope.

and protein expression technologies providing genom- 
scale information with computational methods for meta-
bolic network analysis will become important in advancing 
the study of metabolic physiology and the practice of met-
bolic engineering. Currently, the interpretation of high-
throughput experimental information on systemic behavior 
is limited by a lack of analysis capabilities. Can systems-
based quantitative in silico approaches, such as flux balance 
and pathway analysis, be used to assist in understanding this 
flood of data? This is a question that will need to be an-
swered as interest builds in the genomics community for 
quantitative systems analysis.

The authors thank the Whitaker Foundation for their support 
through graduate fellowships in bioengineering.

APPENDIX

A convex polyhedron is a region in \( \mathbb{R}^n \) determined by linear 
equalities and inequalities (Fig. A1). One of the properties 
of a polyhedron is convexity: Any line segment connecting 
two points in the polyhedron is completely contained in the 
polyhedron. If a polyhedron is bounded then it is called a 
polytope. It is called a polyhedral cone if every ray through 
the origin and any point in the polyhedron are completely 
contained in the polyhedron.

A point \( \vec{v} \) in a polyhedron is called a vertex if it is not the 
convex combination of two other points in the polyhedron. 
[A convex combination of the points \( \vec{p} \) and \( \vec{q} \) has the form 
\( t\vec{p} + (1 - t)\vec{q}, \) where \( 0 < t < 1. \)] A ray \( \vec{p} \) is called an extremal 
ray if no point on the ray is a positive linear combination of 
points in the polytope but not on the ray. Note that a cone 
only has extremal rays and a polytope only has vertices (Fig. 
A2).

The set of vertices and extremal rays is unique for any 
polyhedron. In fact, any polyhedron \( (P) \) can be written 
uniquely in the form:

\[
P = \text{Conv}(\vec{v}_1, \ldots, \vec{v}_k) + \text{Cone}(\vec{r}_1, \ldots, \vec{r}_l) \quad (A-1)
\]

where the convex hull and the conical hull are defined as:

\[
\text{Conv}(\vec{v}_1, \ldots, \vec{v}_k) = \{ t_1\vec{v}_1 + \ldots + t_k\vec{v}_k | t_i \geq 0, t_1 + \ldots + t_k = 1 \}
\]

\[
\text{Cone}(\vec{r}_1, \ldots, \vec{r}_l) = \{ t_1\vec{r}_1 + \ldots + t_l\vec{r}_l | t_i \geq 0 \} \quad (A-2)
\]

Note that \( \{ \vec{v}_1, \ldots, \vec{v}_k \} \) are the vertices, and \( \{ \vec{r}_1, \ldots, \vec{r}_l \} \) 
are the extremal rays of \( P. \) An alternate interpretation is that 
very polyhedron is the sum of a polytope and a cone (Fig. 
A3). Both vertices and rays can be found efficiently and 
have significance in a variety of situations extending well 
beyond biochemical reaction networks. In linear program-
ming, the vertices and rays are known as basic feasible 
solutions. They have the property that any linear function on 
a polyhedron achieves its maximum value at a vertex or it is 
unbounded and lies on an extremal ray.

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Figure A2. Vertices and extremal rays of a polyhedron.

Figure A3. The sum of a polytope and a cone.